

## 1. Company details

### 1.1 General information

Full registered name  
(New Zealand Companies office):

Trading name  
(if different):

Company number:

Registered office address (PO Box is not acceptable):

Street:

Suburb:  Postcode:

City:  Country:

Principal place of business (PO Box is not acceptable):

Street:

Suburb:  Postcode:

City:  Country:

### 1.2 Company type (select one)

Public (go to Section 2)

Private/proprietary (go to Section 1.3)

### 1.3 Directors

How many directors are there?

Provide full name and date of birth for each director below.

Full given name(s)	Surname	Date of birth

If there are more directors, provide details on a separate sheet.

## 1.4 Shareholders

Provide details of all individuals who are beneficial owners through one or more shareholdings of more than 25% of the company's issued capital.

### Shareholder 1

Full name:  Date of birth:  /  /

Residential address (PO Box is not acceptable):

Street:

Suburb:  Postcode:  Country:

### Shareholder 2

Full name:  Date of birth:  /  /

Residential address (PO Box is not acceptable):

Street:

Suburb:  Postcode:  Country:

### Shareholder 3

Full name:  Date of birth:  /  /

Residential address (PO Box is not acceptable):

Street:

Suburb:  Postcode:  Country:

### Shareholder 4

Full name:  Date of birth:  /  /

Residential address (PO Box is not acceptable):

Street:

Suburb:  Postcode:  Country:

### 1.5 Details of beneficial owners or those with authority to act on behalf of the company

How many beneficial owners and authorised persons are there?  Provide full name and date of birth for each beneficial owner and authorised person below.

Remember to complete identification forms for each beneficial owner and authorised person. Beneficial owners include anyone with effective control over the company.

Full name	Relationship to the company	Date of birth

*If there are more beneficial owners/authorised persons, provide details on a separate sheet.*

## 2. Company verification procedure

Ensure you have verified the full name of the company as on the New Zealand Companies Office register.

Verify the Company Number issued to the company.

Select one of the following options.

- Perform a search of the New Zealand Companies Office register.
- Obtain an original or certified copy of the certification of registration issued by New Zealand Companies office.

## 3. Nature and purpose of business relationship

### 3.1 Select as many of the following categories as applicable

- Superannuation transfer
- Investment expected to be for longer than four years
- Investment expected to be for shorter than four years
- Accumulation investment (i.e. funds are being deposited or balance expected to be maintained)
- Decumulation investment (i.e. funds are being drawn down by the client)

### 3.2 Expected transactions (please estimate):

	Expected amount	Frequency (e.g. monthly, annual)
Expected deposits (total initial deposits)	\$	
Regular savings (if any)	\$	
Regular withdrawals (if any)	\$	
Irregular deposits	\$	
Irregular withdrawals	\$	

## 4. Original source of funds/wealth verification

You are required to verify the source of funds or wealth of the company, including both original capitalisation funds and any source of income, for any company that is a vehicle for holding personal assets, has nominee shareholders or shares in bearer forms.

Is the company a vehicle for holding personal assets, has nominee shareholders or shares in bearer forms?

**Yes** (complete Section 4)

**No** (go to Section 5)

A letter from a solicitor or chartered accountant that confirms income/wealth of the company.

An audited set of accounts identifying the wealth and income of the company.

Other - please explain document used to verify income/or wealth of the company.

*Documents that are written in a language that is not English must be accompanied by an English translation prepared by an accredited translator.*

## 5. Identification and verification conducted by:

NZBritannia representative's name:

NZBritannia representative's signature:

Date: